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PTO/SB/30 (10/2001)

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**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

| | |
|------------------------|----------------|
| Application Number | 09/634,435 |
| Filing Date | August 8, 2000 |
| First Named Inventor | Hu |
| Art Unit | 2165 |
| Examiner Name | Garg, Y. |
| Attorney Docket Number | JA999802 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8.

1. **Submission required under 37 CFR §1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other Preliminary Amendment

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2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of _____ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(l) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 09/0469 (IBM) (Filing Fee \$740.00)

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☐ Other _____

e. 04/08/2002 BNGUYEN1 00000022 090469 09634435

01 EC:179 740.00 CH

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)

Michael F. Hoffman

Registration No. (Attorney / Agent)

40,019

Signature

Michael F. Hoffman

Date

March 25, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)

Dorothea Rubbone

Signature

Dorothea Rubbone

Date

March 25, 2002

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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
JA999802

In Re Application Of: Shiann-Jong Hu

Serial No.
09/634,435

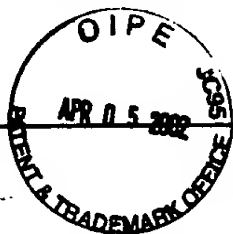
Filing Date
August 8, 2000

Examiner
Garg, Y.

Group Art Unit
2165

Title: **METHOD AND SYSTEM FOR INTEGRATING CORE BANKING BUSINESS PROCESSES**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Request for Continued Examination (RCE) Transmittal
Preliminary Amendment in 8 pages

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in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: March 25, 2002

Michael F. Hoffman
Reg. No. 40,019
Hoffman, Warnick & D'Alessandro
Three E-Comm Square
Albany, New York 12207

I certify that this document and fee is being deposited
on March 25, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Dorothea Rubbone

Typed or Printed Name of Person Mailing Correspondence

CC: